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PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Takahiro SUGIMOTO

Group Art Unit: 2131

Application No.: 09/853,708

Filed: May 14, 2001

Docket No.: 107626

For: METHOD AND APPARATUS FOR ESTABLISHING A SECURITY POLICY, AND
METHOD AND APPARATUS FOR SUPPORTING ESTABLISHMENT OF SECURITY
POLICY

INFORMATION DISCLOSURE STATEMENT

RECEIVED

Director of the U.S. Patent and Trademark Office
Washington, D.C. 20231

AUG 16 2004

Technology Center 2100

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.

Respectfully submitted,

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Form PTO-1449 (REV. 8-83)		US Dept. of Commerce PATENT & TRADEMARK OFFICE		ATTY DOCKET NO. 109460		APPLICATION NO. 09/853,708	
INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				APPLICANT Takahiro SUGIMOTO			
				FILING DATE May 14, 2001		GROUP 2131	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)							
	1	COBIT steering Committee and Information Systems Audit and Control Foundation : COBIT Executive Summary 2 nd Edition (1998) (http://www.isaca.org/execl.htm).					
	2	ISO/IEC TR 13335-1-3 (GMITS) Technical Report (1996-1998).					
	3	GENERALLY-ACCEPTED SYSTEM SECURITY PRINCIPLES (GASSP) V2 (1999) (http://web.mit.edu/security/www/gassopl.html).					
	4	Common Criteria V2 (http://csrc.nist.gov/cc/ccv20/ccv2list.htm).					
	5	"The Information Security Maturity Grid" Timothy R. Stacey Information System Security p. 22 Summer 1996.					
EXAMINER					DATE CONSIDERED		
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

August 12, 2004